

ANNUAL PROGRAM REPORT

**FISCAL YEAR 2016
(JULY 1, 2015 – JUNE 30, 2016)**

**APPROVED BY:
AMANDA MILLIGAN, DIRECTOR**

Director's Message: Annual Report for FY 2016

TO: Board of Directors, Second Judicial District Department of Correctional Services
Jerry Bartruff, Director, Iowa Department of Corrections
All Staff

In fiscal year 2016 our staff has continued to implement positive improvements to support our mission. Some of the key initiatives from fiscal year 2016 include:

- ❖ Implementation of a comprehensive 18 month professional development program for all direct services and supervisory employees.
- ❖ Successful completion of the initial PREA audit by all remaining facilities.
- ❖ Achieving measurable progress on the following District priority goals from the 2016 Strategic Plan:
 1. Professional Development: The Department is committed to ensuring all department employees have access to relevant and meaningful professional development opportunities.
 2. Organizational Development: The Department is committed to improving the organizational culture and climate of the District.
 3. Continuous Quality Improvement: The Department is committed to maintaining an environment of Continuous Quality Improvement on all services provided in the District.
 4. Resource management: The Department is committed to providing high quality services grounded in evidence based principles in a fiscally responsible manner.
 5. Safety: The Department is committed to increasing workplace safety.
 6. Operations: The Department is committed to maintaining safe and effective operations. The following were identified as top operations related priorities this year: improved identification and management of security threat groups in the community; effective supervision and treatment of our rapidly growing sex offender population; and achieving risk reduction in our client population by using the evidence based risk and needs assessment tools with fidelity to effectively supervise and treat high risk offenders in the community.

In addition to the above, I would like to emphasize some specific information contained in this year's Annual Report:

- ❖ Just as in FY 15, our high risk offender population continued to increase in FY 16. As a result, the Intensive Supervision Program saw a 10% increase in total clients served.
- ❖ Our special sentence sex offender population increased by 10% this fiscal year.
- ❖ Average daily costs for probation/parole supervision were \$6.05 per day; sex offender treatment and supervision were \$13.69 per day; and residential services were \$72.94 per day.
- ❖ Based on 3-year follow-up figures, recidivism rates for the FY 2013 cohort remained generally steady.

I would like to extend a sincere thank you to the hardworking Department staff who have made all of the above possible. In addition I would like to thank our Board of Directors, Advisory Committee members, community partners, and our colleagues in other agencies that partner with us to advance the mission.

Sincerely,



Amanda Milligan, District Director

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VISION, BELIEFS, MISSION

Vision

Iowa Community Corrections is a place where

- **Every offender makes it and our communities are safe**
- **We invest in partnerships so that all members of the community have hope of succeeding**
- **Individual growth is encouraged and expected, and achievement is recognized**
- **Shared decision-making and team participation demonstrate our mutual respect**
- **Offenders are held accountable**
- **Diversity is our strength**
- **Innovation is valued**
- **We measure our results and do what we know works**

Beliefs

People can change

There is a potential for individual growth, and everyone has the right to personal success.

In Integrity

Honesty and objectivity in our decision-making and our dealings with others are essential.

In Accountability

All of us (staff, offenders and the community) must be accountable for our actions.

In Innovation

Through creativity and hard work we can make our services more effective and provide them efficiently.

In Teamwork, Respect & Equality

By respecting and accepting each other as unique and equal individuals, we can work together to achieve our shared goals and make our Vision a reality.

Mission

Public Safety through Risk Reduction

District Code of Ethics

As an employee of the 2nd Judicial District,
I recognize that my employment is a symbol of public faith.

- I will strive to protect the public and safeguard them from victimization by exercising professional judgment and performing my duties to the best of my ability.
- I will not engage in or condone any form of harassment or discrimination.
- I will uphold the law with dignity, displaying an awareness of my responsibility to offenders while recognizing the right of the public to be safeguarded from criminal activity.
- I will seek to preserve the dignity, rights and worth of all individuals by practicing courtesy, respect and responsiveness.
- I will create positive relationships in my office, my community, my district and beyond.
- I will maintain confidentiality and appropriate boundaries with staff and the population I serve.
- I will be worthy of the trust of other department employees, community stakeholders and the Citizens of Iowa.

As a public employee, I will hold true to the Code of Ethics of the
2nd Judicial District Department of Correctional Services

==Public Safety through Risk Reduction==

Second District Overview / History

1977-1980

Senate File 112 instituted Judicial District Departments of Correctional Services through Iowa. A Board of Directors was implemented as governing authority and the first Residential Facilities were opened in Fort Dodge, Ames and Marshalltown. Accreditation was completed in 1980 and offices were established in 3 communities.

1981-1990

During this decade client education programs OWI and sex offender treatment were offered. Curt Forbes Residential Center was built. A programmer/analyst was hired to incorporate data. Risk and needs assessment/case classification was adopted. Corrections took over work release and parole per legislation. The first collective bargaining agreement was negotiated and Administrative accreditation was achieved.

1991-2000

During the 1990's money was received from Legislature to begin to relocate Marshalltown Residential Center. The Mason City Residential Center was built and the legislature recommended new construction for the Fort Dodge Residential Center. Low risk offenders were reviewed for early discharge or lower supervision standards. Several other treatment programs began to be offered pertaining to Batterer's Education, Substance Abuse, Cognitive Programming Anger Management and Day Program Center. Second District also led the way to LSI-R risk assessment and participated in decision making for the Iowa Correctional Offender Network (ICON). A psychologist and polygraph examiner were hired for the sex offender program.

2001-2005

Tough budget times necessitated review of high caseloads, staff layoffs and unfilled positions resulting in negatively impacted services and treatment groups. Prison overcrowding became a major issue but was difficult to navigate with dwindling resources in Community Based Corrections. Second District entered into an income offset agreement to collect owed money from offenders through their income tax refunds. Drug Courts were established in Cerro Gordo County. Accreditation was completed on Administration, Personnel, Fiscal, Programming and ICON with very favorable feedback in all areas.

2006-2010

District Director and Assistant Director work with the National Institute of Corrections to develop a Comprehensive Parole Strategy. Prison Rape Elimination Act is implemented. Law requires all felons to have DNA samples on record and sex offender risk assessments begin to be implemented. The Fort Dodge Community Corrections Center is built. Prison Re-Entry Initiative becomes a large focus with coordination of transition from prison to community corrections setting. Grant funded family programming begins to be instituted. Budget cuts have resulted in challenging years along with the closure of the Sac City office in 2010.

2011-Present

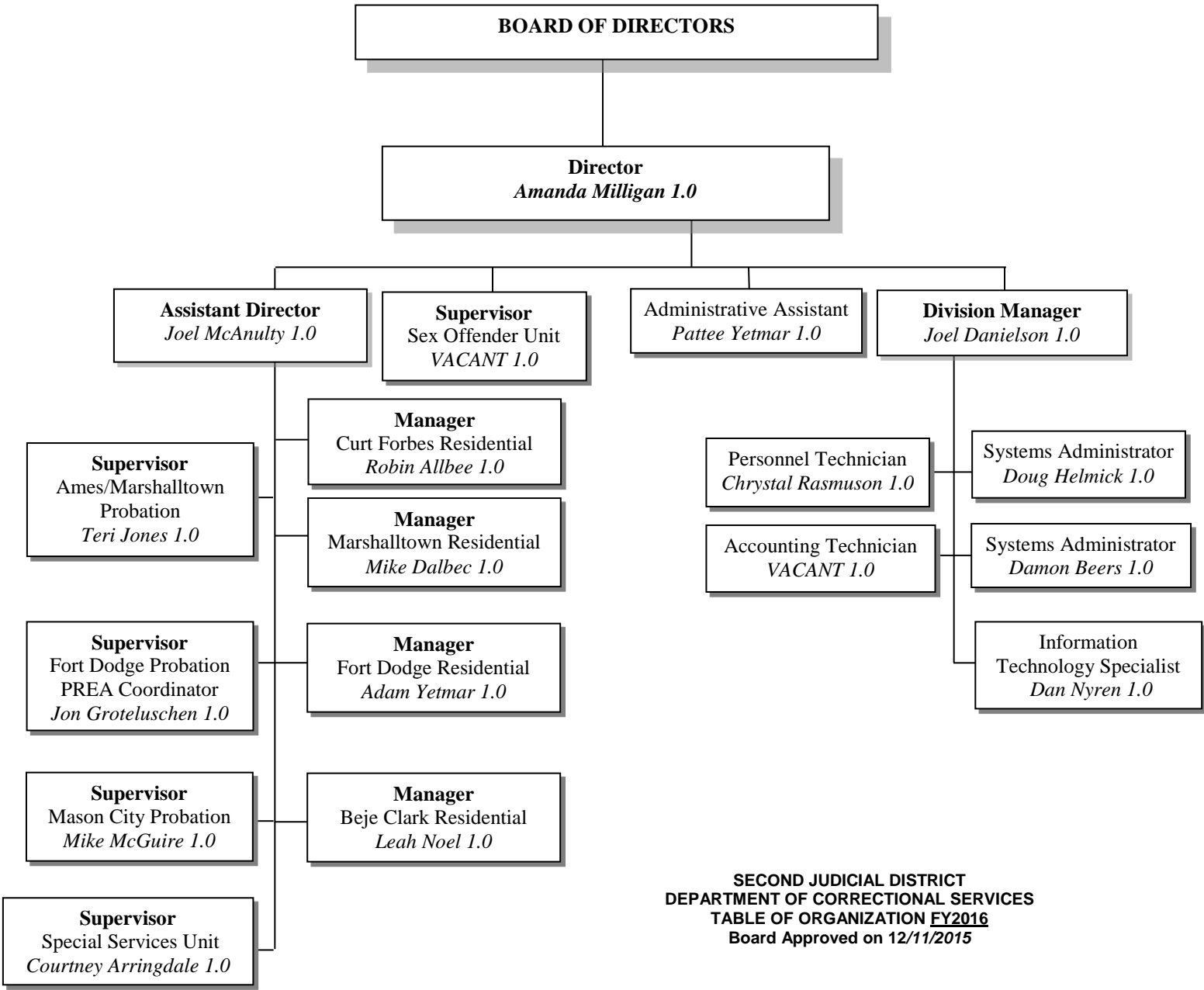
Organizational culture change and completing the strategic planning process have been crucial in implementing positive change. As a Department all were committed to adopting a more participative management approach. Committee work, with wide representation of all work units and staff, continues to be a district wide focus. Reorganization of some county distribution, office coverage and supervisors has occurred upon recommendation of committee work. Blending of residential and field supervision has occurred where Probation/Parole officers supervise both field and residential offenders. Statewide changes have been made to implement new risk assessment tools the Iowa Risk Revised and the DRAOR.

OFFICE LOCATIONS/TABLES OF ORGANIZATION



Ames Administration

**509 Main St
Ames, Iowa 50010
(515) 232-1511**



SECOND JUDICIAL DISTRICT
DEPARTMENT OF CORRECTIONAL SERVICES
TABLE OF ORGANIZATION FY2016
Board Approved on 12/11/2015



Ames Probation/Parole Office

**509 Main St
Ames, Iowa 50010
(515) 232-1810**

Ames Probation/Parole Office

Supervisor

Teri Jones 1.0

Probation/Parole Officer 3

*Jim Cameron 1.0
Mike Di Blasi 1.0
Steve Naeve 1.0*

Secretary

*Sandy Emery 1.0
Marlys Wells 1.0*

Probation/Parole Officer 2

*Kevin McArthur 1.0
Carla Evans 1.0
Kristen Ruppel 1.0*



Curt Forbes Residential Center

**111 N Sherman
Ames, Iowa 50010
(515) 232-3774**

Curt Forbes Residential Center

Manager

Robin Allbee 1.0

Probation/Parole Officer 2

*Janet Olson 1.0
Kari White 1.0*

Secretary

VACANT .55

Building Maintenance Coordinator

Mark Wolfe .25

Residential Officer

*Doug Ashby 1.0
Byron Davis .80
Nathan Von Fumetti .80
Rena Dunphy 1.0
Tom Eaches 1.0
Dan Lindgren 1.0
Sarah Morrow 1.0
Robert Neuendorf 1.0
Matt Kennis 1.0
Tina Westphal 1.0
Annie Wierson .80*



Fort Dodge Probation/Parole Office
Fort Dodge Residential Center

311 1st Ave South
Fort Dodge, Iowa 50501
(515) 576-8121 (field office)
(515) 955-6393 (residential center)

Fort Dodge Probation/Parole Office
Supervisor

Jon Groteluschen 1.0

Probation/Parole Officer 3

Russ Martin 1.0
Katie Schuelke 1.0
Roni Yetmar-Henry 1.0 (Drug Court)

Probation Parole Officer 2

Tenette Carlson 1.0
Robert Cass 1.0
Julie Cripe 1.0
Cathy Davis 1.0
Barb Krug 1.0
Maurice Meier 1.0
VACANT 1.0
Terri Valline 1.0

Secretary

VACANT 1.0
Christine Lennon 1.0

Fort Dodge Residential Center
Manager

Adam Yetmar 1.0

Residential Officer

Brian Busick 1.0
Don Sorenson 1.0
Joel Odean 1.0
Carole Twyman .80
Amanda Meyer .80
Richard Meyer 1.0
Scott Michelfelder .80
Ken Miller 1.0
Tom Schmitt 1.0
Steve St. John 1.0
Kristen Halbur 1.0

Food Service Leader

Peggy Allbee 1.0

Cook

Debra Ebelshaiser .60

Building Maintenance Coordinator

Clark Jochimsen .60



Marshalltown Probation/Parole Office

**RM 412, Masonic Temple Building
Marshalltown, Iowa 50158
(641) 752-6322**

Marshalltown Probation/Parole Office

Supervisor
Teri Jones 1.0

Probation/Parole Officer 3

Rick Day 1.0
Don Wolter 1.0
Secretary
Terri Leavy 1.0

Probation/Parole Officer 2

Dave Currant 1.0
Tom Larson 1.0
Judy Imgrund 1.0



Marshalltown Residential Center

**1401 South 17th Ave
Marshalltown, Iowa 50158
(641) 753-5571**

Marshalltown Residential Center

Manager
Mike Dalbec 1.0

Food Service Leader

Judy Eirikson 1.0

Cook

Ann Danner .20
Laura Davis .20

Building Maintenance Coordinator

Brad Wall .25

Residential Officer

Tabitha Berends-Havens .80
Dustin Wright .80
Tabitha DeZeeuw 1.0
Tom Dagit 1.0
Rick Gonzales 1.0
Dave Pille 1.0
Gretchen Jenkins 1.0
James Swope 1.0
Deborah Mason 1.0
Randy Sample 1.0
Dawn Pieper .80



Mason City Probation/Parole Office

**22 North Georgia
Mason City, Iowa 50402
(641) 424-0131**

Mason City Probation/Parole Office
Supervisor

Mike McGuire 1.0

Probation/Parole Officer 3

*Gretchen Hollander 1.0 (Drug Court)
Jan Lewerke 1.0
Brian Willrett 1.0
Murphy Washington 1.0*

Secretary

*Julie Braun 1.0
Deb Jilek 1.0
Diane Borchardt .60*

Probation/Parole Officer 2

*VACANT 1.0
Amanda Davis 1.0
Thomas Gayther 1.0
Darleen Hackman 1.0
Dana Hrubetz 1.0
Jane Nelson 1.0
Leah O'Neill 1.0
Kevin Kozisek 1.0
Chad Vance 1.0
Tom O'Neill 1.0*



Beje Clark Residential Center

**818 15th St SW
Mason City, Iowa 50402
(641) 424-3817**

Beje Clark Residential Center
Supervisor

Leah Noel 1.0

Food Service Leader

Becky Erdman 1.0

Cook

*Ginger Clausen .20
Linda Quackenbush .20*

Building Maintenance Coordinator

John Erdman .25

Residential Officer

*VACANT .80
Wanda Berding 1.0
Leslie Coe 1.0
Bridget Harms 1.0
Megan Deitrick 1.0
Stella Frank 1.0
Adam Heinrichs 1.0
VACANT .80
Scott Lovik 1.0
Kayce Usher-Scott .80
Anthony Hanna 1.0*

Sex Offender Services
Supervisor
VACANT 1.0

Probation/Parole Officer 3

Mark Coleman 1.0, Ames
Michael Klobnak 1.0, Ames
Judy Barton 1.0, Fort Dodge
Russ Goebel 1.0, Fort Dodge
Ellen Baker 1.0, Marshalltown
Troy Jones 1.0, Marshalltown
John Scholl 1.0, Mason City
Dave Hawver 1.0, Mason City

Psychologist

Jim Varland 1.0
Ashley Lappe 1.0

Polygrapher

Marc Borgman 1.0, Fort Dodge/Mason City
Amy Neisen 1.0, Ames/Marshalltown

Special Services Unit
Supervisor
Courtney Arringdale 1.0

Community Treatment Coordinator

Renee Ranson 1.0, Ames
Stacy Bochart 1.0, Fort Dodge
Jean Helmers 1.0, Fort Dodge
Julie Brandt 1.0, Marshalltown
Michele Gordon 1.0, Mason City
Diana Kellar 1.0, Mason City

Probation/Parole Officer 2

Bobbie Nelson .75, Ames
Wayne Meyne 1.0, Fort Dodge
Dee Norton 1.0, Marshalltown
Barb Brandt 1.0, Mason City

Secretary

Jami Knutson 1.0

BOARD OF DIRECTORS FY2016

| Member and Address | Phone Number | Member and Address | Phone Number |
|---|--------------|---|--------------|
| Ken Abrams Worth County Supervisor 1000 Central Ave Northwood 50459 | 641-324-3630 | Bill Jensvold Winnebago County Supervisor 126 S Clark St Forest City 50436 | 641-585-3412 |
| Neil Bock Carroll County Supervisor 114 E 6 th St Carroll 51401 | 712-792-9802 | Mark Kuhn Floyd County Supervisor 101 S Main Ste 302 Charles City 50616 | 641-257-6129 |
| Mick Burkett Greene County Supervisor 114 N Chestnut Jefferson 50129 | 515-386-5680 | Gary McVicker Franklin County Supervisor PO Box 26 Hampton 50441 | 641-456-5624 |
| Mark Campbell Webster County Supervisor 703 Central Ave Fort Dodge 50501 | 515-573-7175 | Tina Meth-Farrington Judicial Delegate Rockwell City 50579 | 712-297-7829 |
| John (Mort) Christianson Humboldt County Supervisor 203 Main St PO Box 100 Dakota City 50529 | 515-332-1571 | Tim Neil Bremer County Supervisor 415 E Bremer Ave Waverly 50677 | 319-352-0130 |
| Michelle De La Riva Advisory Delegate Fort Dodge 50501 | 515-576-7261 | JoAnn Peters Pocahontas County Supervisor 99 Court Square Ste 7 Pocahontas 50574 | 712-335-3361 |
| Phillip Dougherty Cerro Gordo County Supervisor 220 N Washington Ave Mason City 50401 | 641-421-3021 | Rick Rasmussen Wright County Supervisor PO Box 147 Clarion 50525 | 515-532-2771 |
| Steve Duffy Boone County Supervisor 201 State St Boone 50036 | 515-433-0500 | Ronn Rickels Hardin County Supervisor 1215 Edgington Ave Ste 1 Eldora 50627 | 641-939-8220 |
| Rusty Eddy Butler County Supervisor PO Box 325 Allison 50602 | 319-267-2670 | Mike Ryan Advisory Delegate Cherokee 51012 | None |
| Mark Feustel Advisory Delegate Mason City 50401 | None | Rick Sanders Story County Supervisor 900-6 th St Nevada 50201 | 515-382-7202 |
| Paul Fitzgerald Judicial Delegate Story Co Sheriff's Office 1315 S B Ave Nevada 50201 | 515-382-6566 | Wesley Sweedler Hamilton County Supervisor 2300 Superior St Webster City 50595 | 515-832-8567 |
| Janelle Groteluschen Judicial Delegate Fort Dodge 50501 | None | Dave Thompson Marshall County Supervisor 1 E Main St Marshalltown 50158 | 641-754-6330 |
| Thetia Hall-Polking Judicial Delegate Carroll 51401 | 712-792-5666 | Jerry Tlach Hancock County Supervisor 855 State St Garner 50438 | 641-923-3421 |
| Rick Hecht Sac County Supervisor 100 NW State St Sac City 50583 | 712-662-7401 | Mike Tupper Advisory Delegate Marshalltown PD 22 N Center St Marshalltown 50158 | 641-754-5771 |
| Scott Jacobs Calhoun County Supervisor 416 4 th St Ste 1 Rockwell City 50579 | 712-297-7741 | Stan Walk Mitchell County Supervisor 212 S 5 th St Osage 50461 | 641-832-3942 |

ADVISORY COMMITTEES FY2016

AMES AREA

MEMBERS

MICHELLE DE LA RIVA, (DELEGATE TO THE BOARD OF DIRECTORS), AMES, 50010
SHERRY BRADLEY, AMES, 50010
ROBERT ROD, (ALTERNATE TO THE BOARD OF DIRECTORS), AMES, 50010
BILL SKARE, BOONE PD, 50036

MARSHALLTOWN AREA

MEMBERS

MIKE TUPPER, (DELEGATE TO THE BOARD OF DIRECTORS), MARSHALLTOWN PD,
MARSHALLTOWN, 50158
JILL EATON, MARSHALL Co CPC, MARSHALLTOWN, 50158
MIKE CROKER, (ALTERNATE TO THE BOARD OF DIRECTORS), MARSHALLTOWN, 50158
BARB MATTHEWS, WORKFORCE DEVELOPMENT CENTER, MARSHALLTOWN, 50158

FORT DODGE AREA

MEMBERS

MIKE RYAN, (DELEGATE TO THE BOARD OF DIRECTORS), VINCENT, 50594
TIFFANY DORSEY, (ALTERNATE TO THE BOARD OF DIRECTORS), COMMUNITY & FAMILY
RESOURCES, FORT DODGE, 50501
ANDREA JONDLE, COMMUNITY & FAMILY RESOURCES, FORT DODGE, 50501
CHAD JENSEN, NEW OPPORTUNITIES, CARROLL, 51401
TERESA LARSON, CHILDREN & FAMILIES OF IOWA, FORT DODGE, 50501
ROGER PORTER, FORT DODGE PD, 50501

MASON CITY AREA

MEMBERS

MARK FEUSTEL, (DELEGATE TO THE BOARD OF DIRECTORS), MASON CITY, 50401
BETTY MCCARTHY, OSAGE, 50461
CANDY STRICKER, (ALTERNATE TO THE BOARD OF DIRECTORS), MASON CITY, 50401
JAY HANSEN, PRAIRIE RIDGE, MASON CITY, 50401
KEVIN PALS, CERRO GORDO COUNTY SHERIFF, MASON CITY, 50401

SECOND JUDICIAL DISTRICT DEPARTMENT OF CORRECTIONAL SERVICES

Statement of Revenues, Expenditures and Changes in Fund Balances – General Fund
Actual and Budget

Year Ended June 30, 2016

| | <u>REVISED ** BUDGET</u> | <u>ACTUAL</u> | <u>VARIANCE FAVORABLE (UNFAVORABLE)</u> |
|---|------------------------------|----------------------|---|
| Revenues by Source: | | | |
| State Purchase of Service (POS) | 11,500,661 | 11,500,661 | - |
| Residential Client Fees | 1,160,299 | 1,262,389 | 102,090 |
| Enrollment Fees | 407,001 | 379,701 | (27,300) |
| Other Client/Group Fees | 153,991 | 194,020 | 40,029 |
| Interest Earned | 3,908 | 10,368 | 6,460 |
| Federal BOP Inmate Reimbursement | 605,879 | 756,578 | 150,699 |
| Federal Urinalysis Contract Reimbursement | 47,094 | 61,226 | 14,132 |
| Federal Pass-Thru Grant | - | 2,077 | 2,077 |
| Miscellaneous | 46,750 | 106,460 | 59,710 |
| Prior Year Carryover | 619,262 | - | |
| Total Revenues Available for Expenditures | <u>\$ 14,544,845</u> | <u>\$ 14,273,480</u> | <u>\$ 347,897</u> |
| Expenditures by Account Category: | | | |
| Personal Services | 12,561,960 | 12,128,535 | 433,425 |
| Travel & Subsistence | 150,880 | 124,560 | 26,320 |
| Supplies | 426,005 | 519,735 | (93,730) |
| Contractual Services | 867,769 | 852,274 | 15,495 |
| Equipment & Repairs | 185,558 | 245,950 | (60,392) |
| Claims & Miscellaneous | - | - | - |
| Licenses, Permits & Refunds | - | - | - |
| State Aid | - | - | - |
| Plant Improvement | 104,000 | 82,566 | 21,434 |
| Total Expenditures | <u>\$ 14,296,172</u> | <u>\$ 13,953,621</u> | <u>\$ 342,552</u> |
| Revenues Over (Under) Expenditures | <u>\$ 248,673</u> | <u>\$ 319,859</u> | |
| Fund Balance Beginning of Year – Local | | \$ 619,262 | |
| Revenues Over (Under) Expenditures including Local Carry Forward Balance | | 319,859 | |
| Less: Government Funds Transfer | | - | |
| Fund Balance End of Year | | <u>\$ 939,121</u> | |

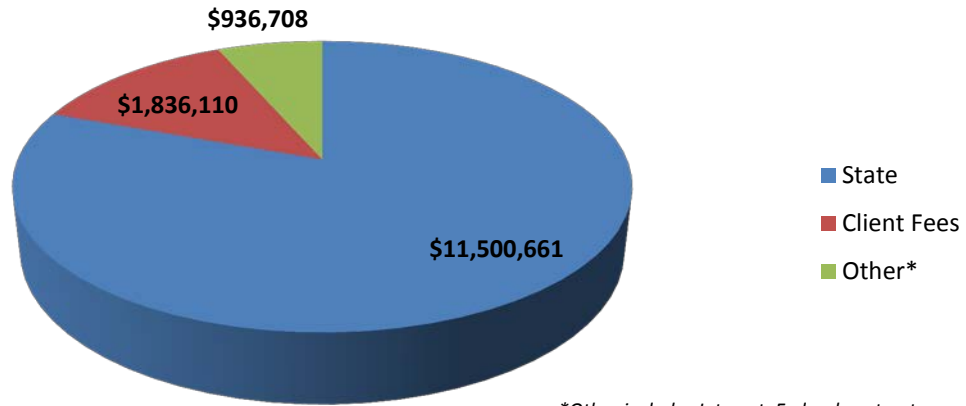
** Original FY 2016 Budget was revised in September 2015 with Actual Carry Forward following Closeout of Fiscal Year 2015.

SECOND JUDICIAL DISTRICT, DEPARTMENT OF CORRECTIONAL SERVICES

**Comparison of Revenues and Expenditures - General Fund
Most Recent Four Fiscal Years**

| | Year Ended 2016 | Year Ended 2015 | From FY15 to FY16 | | Year Ended 2014 | Year Ended 2013 |
|--|----------------------|----------------------|-------------------|-------------|----------------------|----------------------|
| | | | Dollar Change | % Change | | |
| Revenues by Source: | | | | | | |
| State POS & Other Appropriations | \$ 11,500,661 | \$ 11,500,661 | \$ - | 0.00% | \$ 10,962,969 | \$ 10,870,425 |
| Intra-State Transfers | - | - | - | | - | - |
| Residential Client Fees | 1,262,389 | 1,144,088 | 118,301 | 10.34% | 1,070,675 | 1,001,464 |
| Enrollment Fees | 379,701 | 392,093 | (12,392) | -3.16% | 432,116 | 428,377 |
| Other Client/Group Fees | 194,020 | 166,591 | 27,429 | 16.46% | 158,321 | 158,266 |
| Interest Earned | 10,368 | 5,239 | 5,129 | 97.90% | 3,883 | 4,092 |
| Federal BOP Inmate Reimbursement | 756,578 | 636,446 | 120,132 | 18.88% | 590,791 | 527,875 |
| Federal Urinalysis Contract | | | | | | |
| Reimbursement | 61,226 | 46,812 | 14,414 | 30.79% | 36,741 | 38,682 |
| Federal Pass-Thru Grants | 2,077 | - | 2,077 | 100.00% | - | 210,519 |
| Miscellaneous | 106,460 | 60,374 | 46,086 | 76.33% | 47,921 | 41,790 |
| Total Revenues | \$ 14,273,480 | \$ 13,952,304 | \$ 321,176 | | \$ 13,303,417 | \$ 13,281,490 |
| Expenditures by Account Class: | | | | | | |
| Personal Services | \$ 12,128,535 | \$ 12,206,498 | \$ (77,963) | -0.64% | \$ 11,742,767 | \$ 11,979,992 |
| Travel/Training | 103,228 | 133,682 | (30,454) | -22.78% | 132,192 | 126,087 |
| Vehicle Operation | 14,904 | 13,280 | 1,624 | 12.23% | 8,371 | 8,048 |
| Out-of-State Travel/Training | 6,428 | 8,606 | (2,178) | -25.31% | 1,627 | 790 |
| Office Supplies | 42,512 | 30,353 | 12,159 | 40.06% | 32,965 | 29,440 |
| Building Maintenance Supplies | 4,464 | 3,245 | 1,219 | 37.57% | 3,525 | 2,122 |
| Professional/Scientific Supplies | 81,598 | 40,110 | 41,488 | 103.44% | 58,893 | 38,856 |
| Housekeeping/Subsistence Supplies | 128,458 | 73,774 | 54,684 | 74.12% | 72,382 | 59,650 |
| Other Supplies | 2,426 | 3,519 | (1,093) | -31.06% | 1,756 | 1,010 |
| Food | 260,278 | 247,053 | 13,225 | 5.35% | 252,477 | 257,571 |
| Communications | 99,538 | 99,713 | (175) | -0.18% | 87,515 | 86,586 |
| Rentals | 201,362 | 198,592 | 2,770 | 1.39% | 194,199 | 184,360 |
| Utilities | 142,204 | 139,648 | 2,556 | 1.83% | 139,545 | 133,373 |
| Professional/Scientific Services | 216,318 | 168,362 | 47,956 | 28.48% | 47,155 | 60,562 |
| Outside Services | 24,712 | 19,634 | 5,078 | 25.86% | 18,683 | 29,640 |
| Intra-State Transfers | - | 55,000 | (55,000) | -100.00% | | |
| Advertising/Publicity | 4,185 | 5,307 | (1,122) | -21.14% | 2,522 | 7,668 |
| Outside Repairs/Services | 72,148 | 100,274 | (28,126) | -28.05% | 61,407 | 82,998 |
| Reimbursements to Other Agencies | 75,844 | 55,954 | 19,890 | 35.55% | 33,253 | 27,051 |
| ITS Reimbursements | 51,586 | 51,880 | (294) | -0.57% | 53,292 | 62,297 |
| Workers Compensation | | | | | 13,133 | 13,395 |
| Residential Equipment over \$500 | 72,005 | 18,680 | 53,325 | 285.47% | 22,477 | 6,939 |
| Office Equipment over \$500 | 4,873 | 1,419 | 3,454 | 243.41% | | 1,126 |
| Equipment under \$500 | 6,192 | 8,496 | (2,304) | -27.12% | 25,114 | 4,974 |
| Data Processing, Inventory | 55,226 | 26,249 | 28,977 | 110.39% | 13,097 | 27,986 |
| Data Processing, Non-Inventory | 35,505 | 27,684 | 7,821 | 28.25% | 16,679 | 14,255 |
| Other Expenditures/Obligations | 36,526 | 39,597 | (3,071) | -7.76% | 41,217 | 33,013 |
| Capitals | 82,566 | 17,901 | 64,665 | 361.24% | 7,912 | 267,468 |
| Total Expenditures | \$ 13,953,621 | \$ 13,794,510 | \$ 159,111 | | \$ 13,084,155 | \$ 13,547,257 |
| Revenues by Funding Source: | | | | | | |
| State Funds | \$ 11,500,661 | \$ 11,500,661 | | | \$ 10,962,969 | \$ 10,870,425 |
| Local Funds | 2,772,819 | 2,451,643 | | | 2,340,448 | 2,411,066 |
| Total Revenues | \$ 14,273,480 | \$ 13,952,304 | | | \$ 13,303,417 | \$ 13,281,491 |
| Expenditures by Major Class Type: | | | | | | |
| Personal Services | \$ 12,128,535 | \$ 12,206,498 | | | \$ 11,742,767 | \$ 11,979,992 |
| Operating Expenses | 1,825,086 | 1,533,012 | | | 1,341,388 | 1,567,266 |
| Intra-State Transfers | - | 55,000 | | | | |
| Total Expenditures | \$ 13,953,621 | \$ 13,794,510 | | | \$ 13,084,155 | \$ 13,547,258 |

Actual Revenues by Source FY2016



**Other includes Interest, Federal contract reimbursements and Miscellaneous*

SECOND JUDICIAL DISTRICT DEPARTMENT OF CORRECTIONAL SERVICES

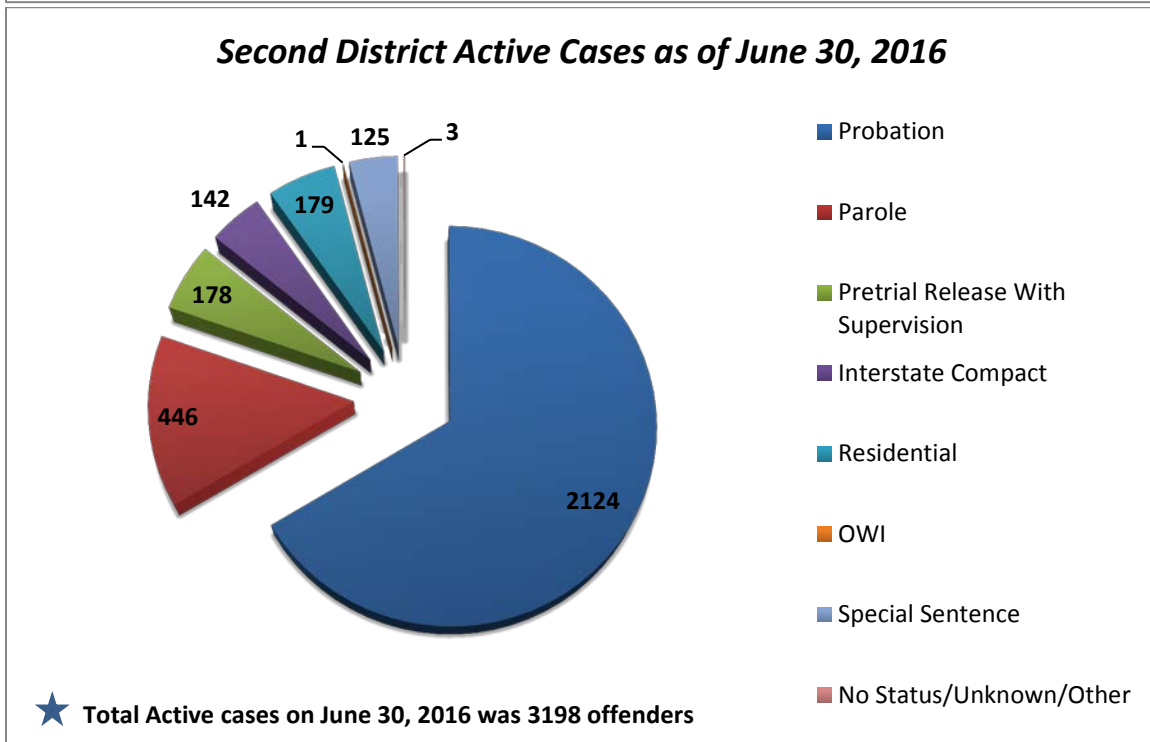
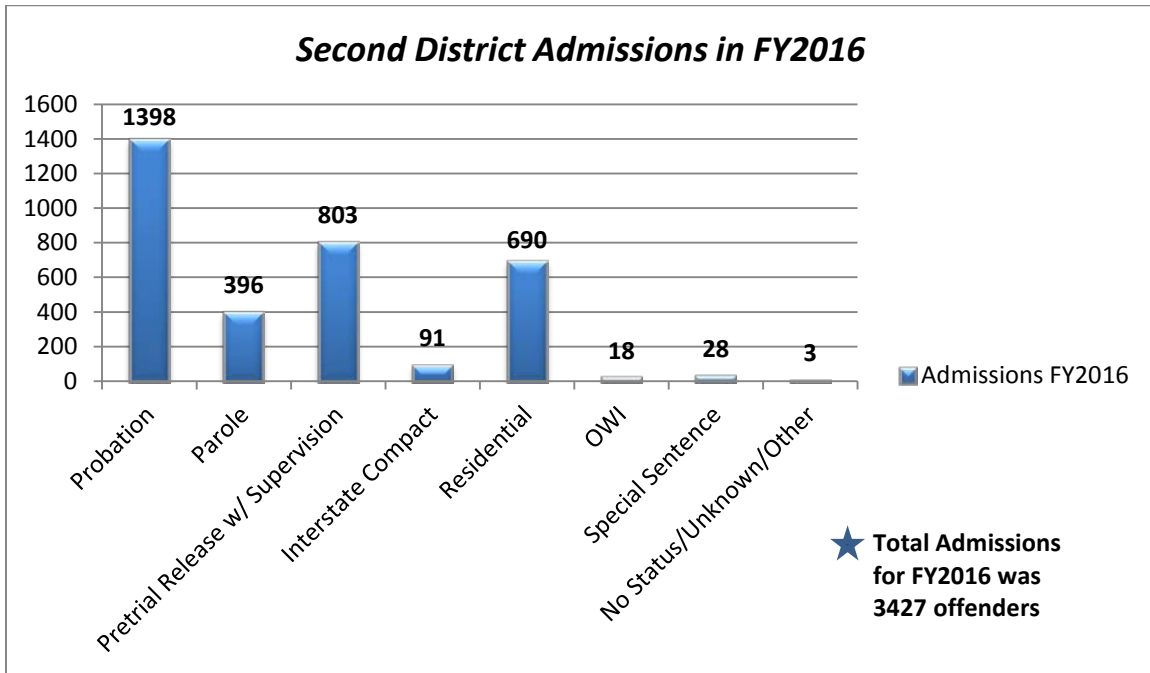
Year Ended June 30, 2016

AVERAGE DAILY COSTS

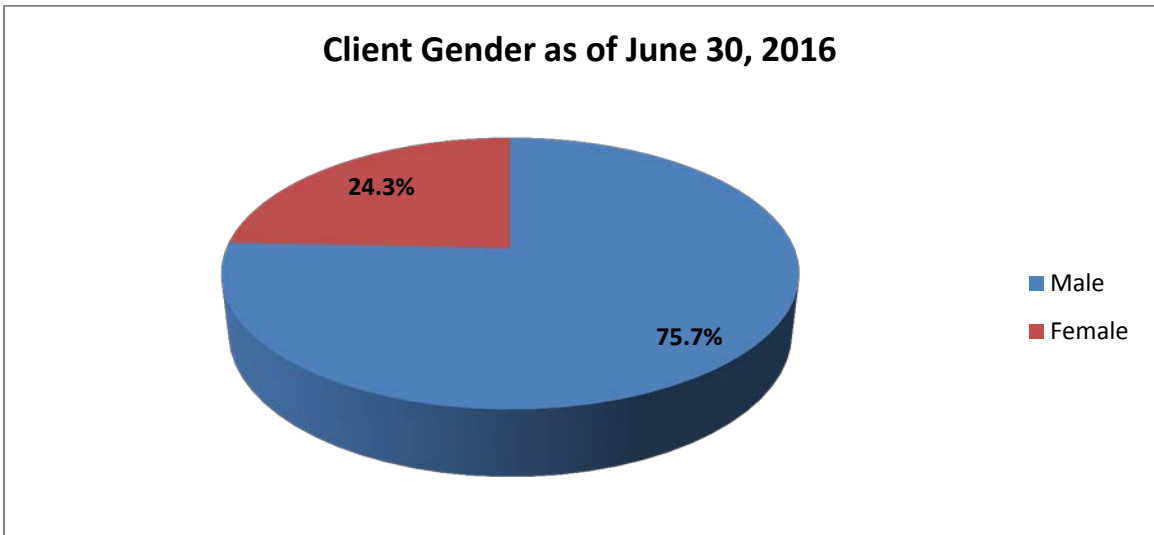
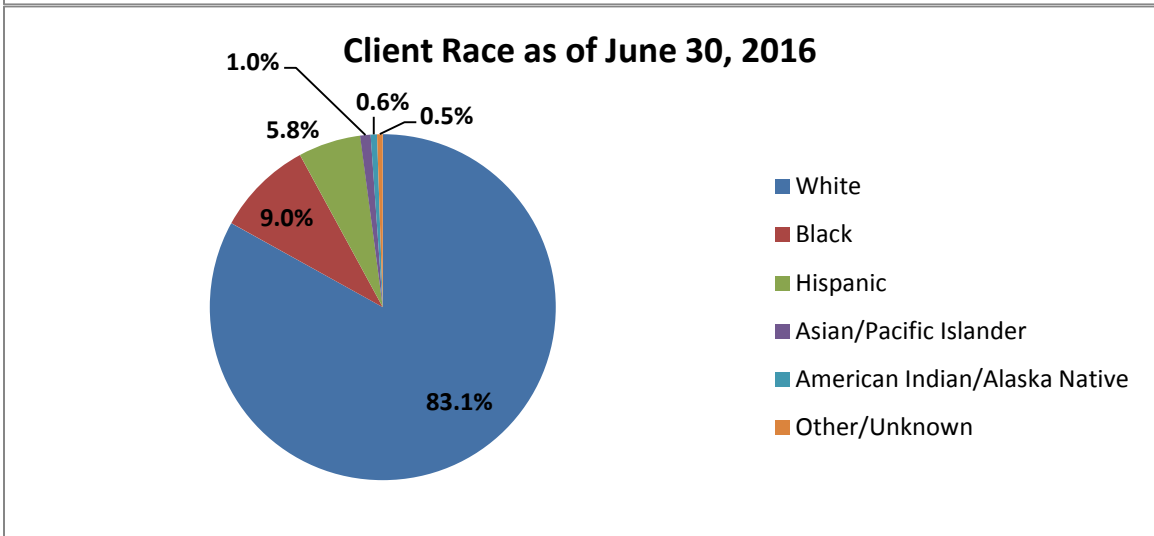
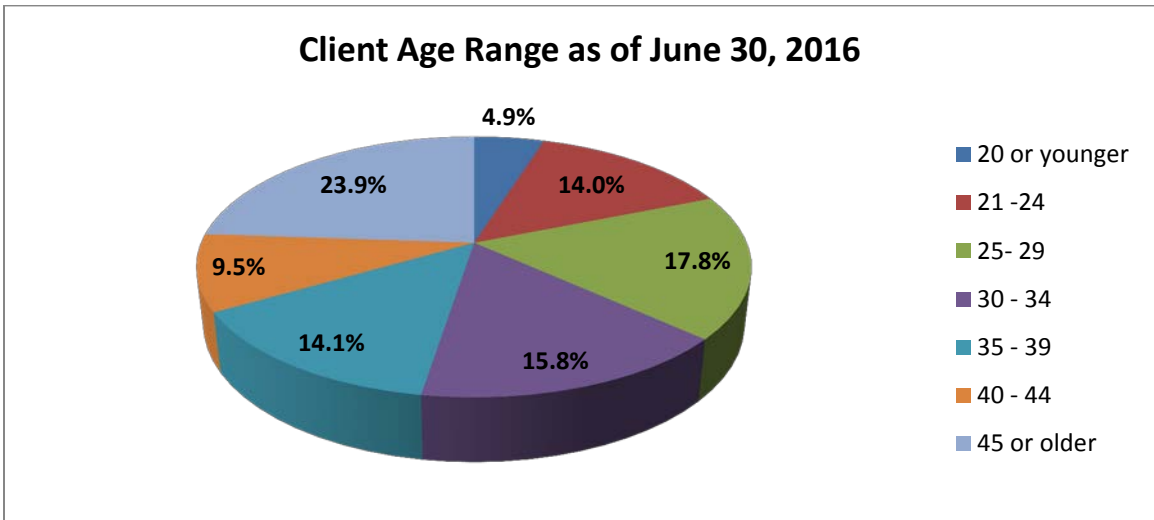
| | |
|--|----------|
| Standard Probation/Parole Supervision | \$6.05 |
| Pre-Trial Release with Supervision | \$1.33 |
| Intensive Sex Offender Supervision and Treatment | \$13.69 |
| Domestic Abuse Program | \$0.96 |
| Average Daily Cost per Residential Facility | \$72.94 |
| Pre-Sentence Investigations | \$506.27 |

Program Operations

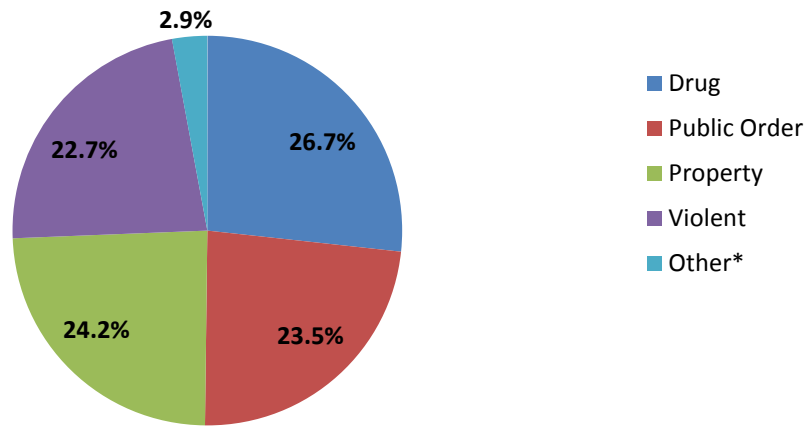
The statistical data contained in this report was compiled from the Iowa Correctional Offender Network (ICON), which was first implemented on August 1, 2000. Statistics for Field Services (Pretrial Release with Services, Probation, Parole, Interstate Compact) and Residential Services do not count offenders who were pending legal action (i.e. offenders who absconded/escaped), nor does it include offenders whose supervision was transferred out of the District.



Client Demographics

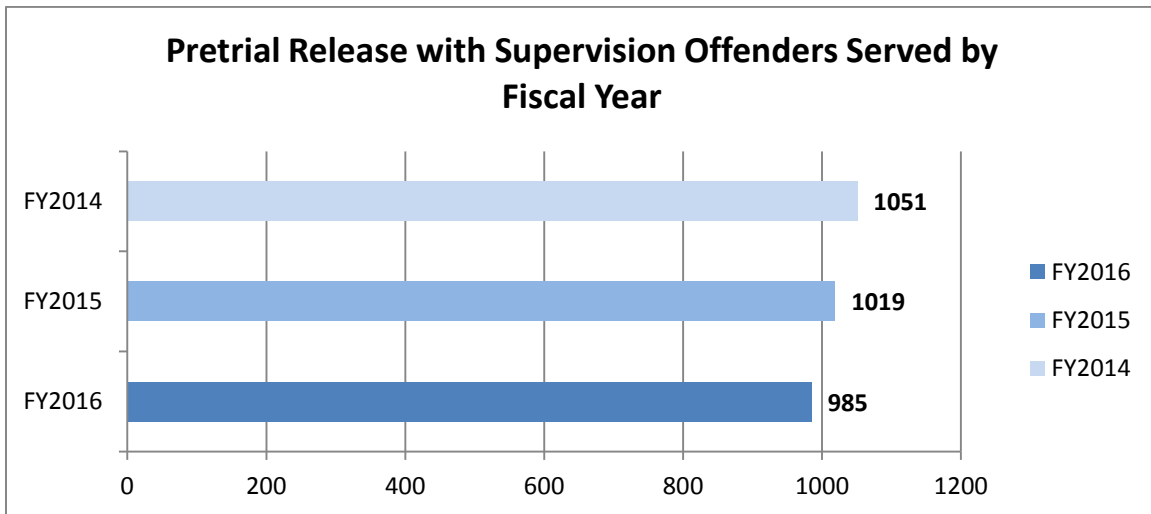


Client Offense Type as of June 30, 2016



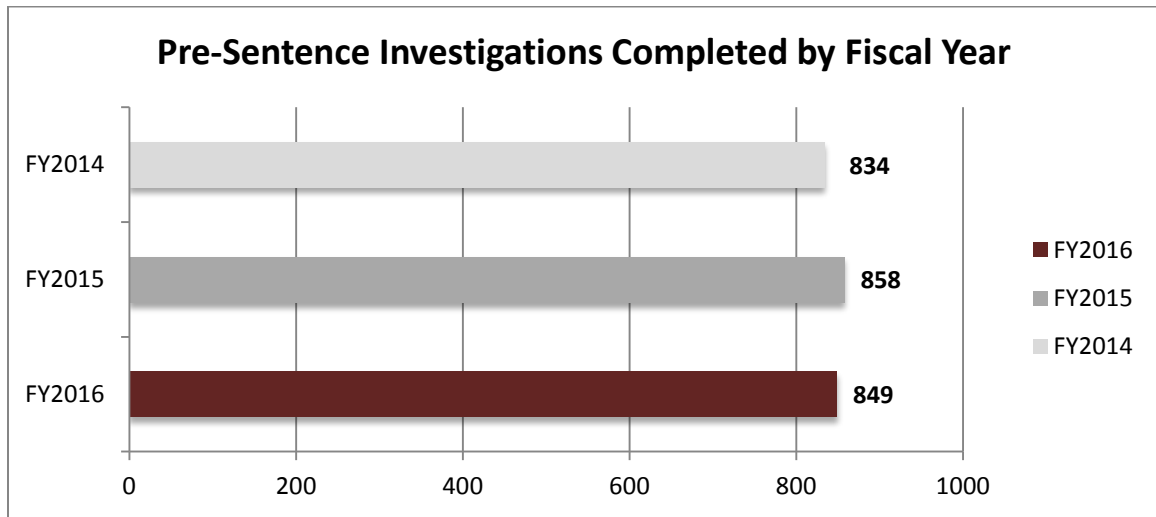
Pretrial Release with Supervision

Pretrial Release with Supervision refers to defendants who are released under the supervision of the Department while awaiting trial, rather than being released on their own recognizance or held in jail on bond. Defendants are supervised by Probation/Parole Officers, who monitor the defendant's whereabouts and activities within the community. Due to their pre-conviction status, treatment programming is offered to defendants on a voluntary basis, unless ordered by the Court.



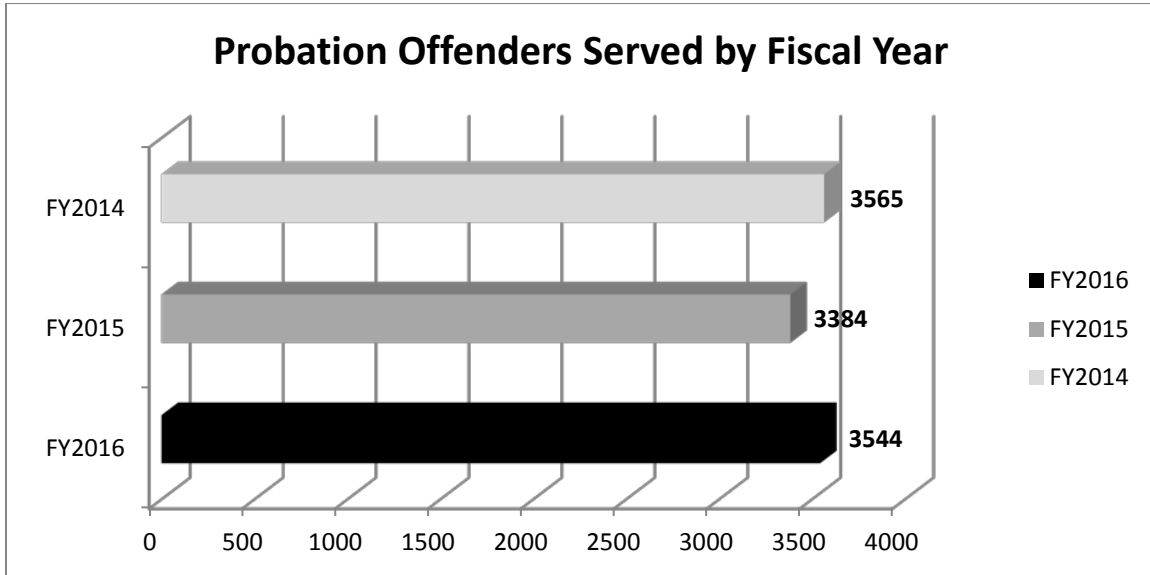
Pre-Sentence Investigations

Pre-Sentence Investigations (PSI) are conducted by Probation/Parole Officers to provide a comprehensive report to judges to assist in determining appropriate sentencing options. Included in each report is information about the Defendant's present offense, prior criminal record, employment, education, family, substance abuse and psychological histories. Utilizing this information, Probation/Parole Officers provide sentencing and treatment recommendations to the Court. The presentence report also provides valuable information to other correctional staff who may later supervise the offender.



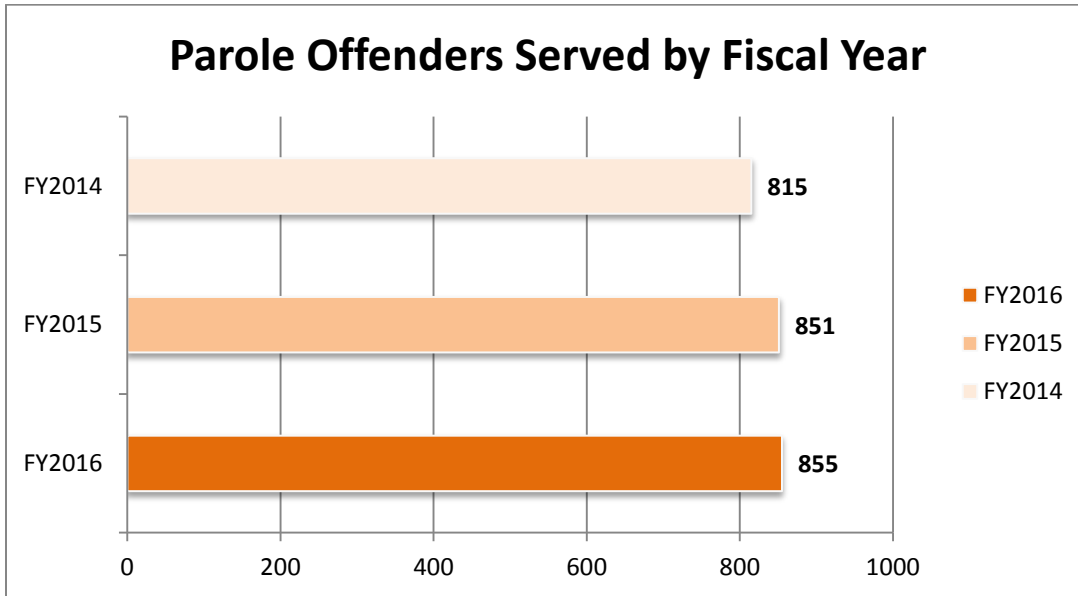
Probation Supervision

Probation supervision provides the Court an alternative to institutionalization whereby convicted offenders remain in the community under correctional supervision. Offenders undergo risk and need assessments, case planning and referrals to local community resources. Probation/Parole Officers monitor compliance and progress, report information, facilitate behavioral change and make recommendations to the Court. The Department supervises both felony and misdemeanor probationers who are placed under its supervision by the Court.



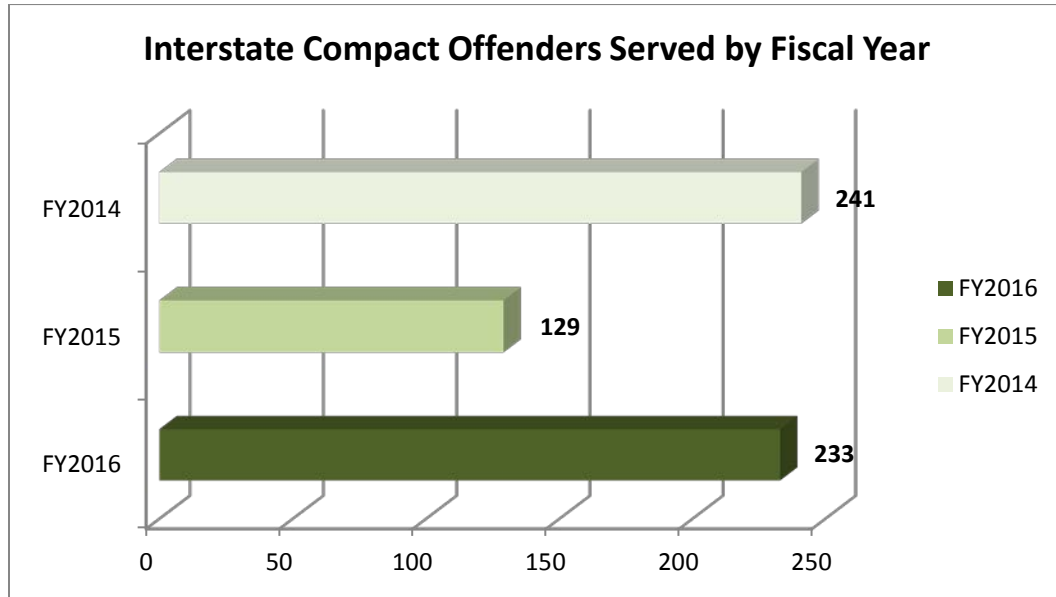
Parole Supervision

Parole supervision provides supervised conditional release of offenders who are returning to the community from prison or work release. Probation/Parole Officers conduct risk and need assessments, make treatment/community referrals, assist with transition back into the community, facilitate behavioral change and monitor compliance and progress similar to Probation.



Interstate Compact Supervision

Interstate Compact supervision is provided for offenders who had been granted probation or parole in other states, but whose supervision has been transferred to the State of Iowa. Offenders undergo risk and need assessments, case planning and referrals to local community resources similar to that provided to Iowa probation/parole offenders. The Department supervises both felony and misdemeanor probationers/parolees who are placed under its supervision by Interstate Compact.



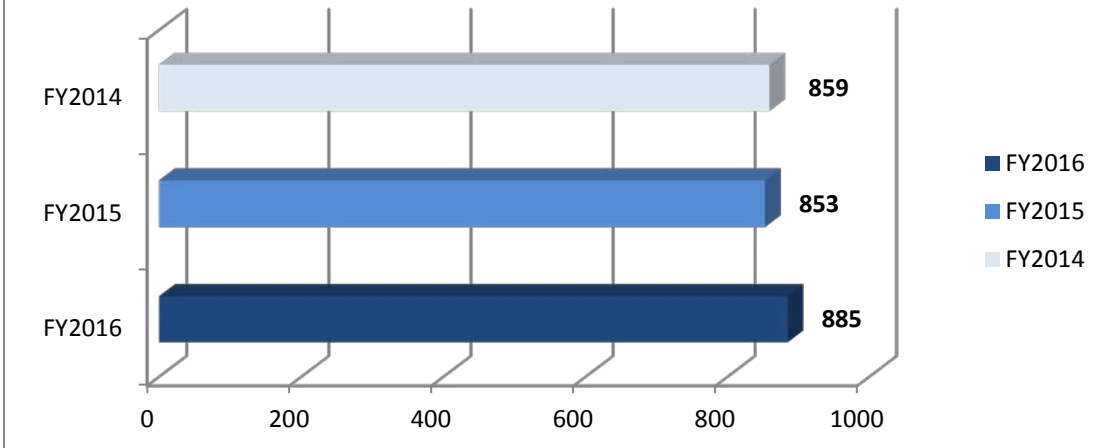
Residential Services

| | | | |
|--|--|---|--|
| Curt Forbes Residential Center 111 N. Sherman Ave. Ames 45 offenders (Male and Female) | Fort Dodge Residential Center 311 1 st Ave. South Fort Dodge 60 offenders (Male and Female) | Marshalltown Residential Center 1401 S. 17 th Ave. Marshalltown 51 offenders (Male and Female) | Beje Clark Residential Center 818 15 th St., SW Mason City 51 offenders (Male and Female) |
|--|--|---|--|

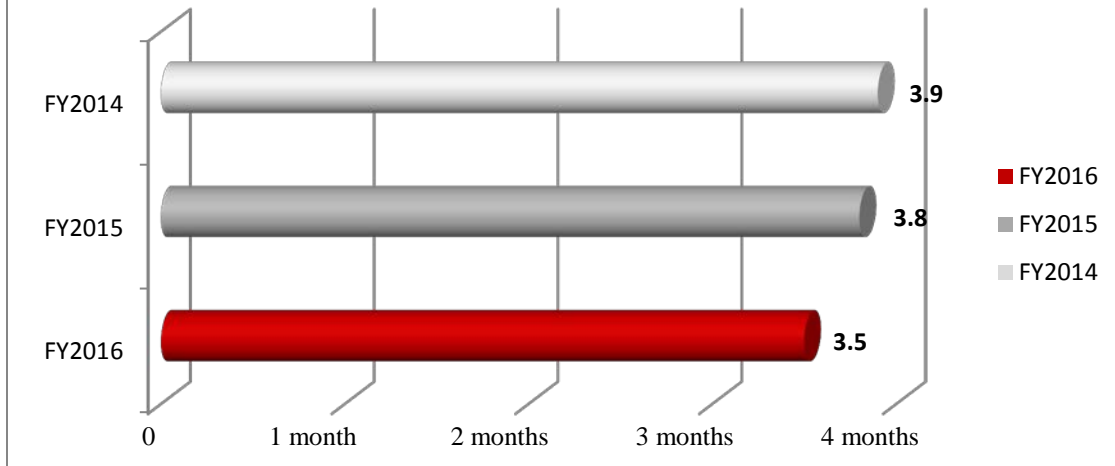
The Department’s Residential Centers provide supervision and programming in a structured environment that promotes stability, accountability and long term behavior change. Residents are required to work and fulfill their financial responsibilities, including family support, victim restitution, court costs and payments towards debts, taxes and rent to the Department. In addition, residents must undergo appropriate assessments and follow-through with all recommended treatment. During each residents stay, they are awarded furloughs from the facility based on satisfactory performance. Those who successfully complete their stay are moved to regular Probation or Parole supervision.

The Residential Centers accept both probationers referred directly by the Courts and work releases from the State Correctional Institutions. The Residential Centers also serve offenders who have been placed in the OWI program. The Department also maintains contracts with the Federal Bureau of Prisons by which federal clients are placed in the residential centers in Ames, Fort Dodge and Mason City, with the Department being reimbursed for the actual daily cost of each federal offender. The total capacity of the four Residential Centers in Second District is 207 offenders.

Residential Offenders Served by Fiscal Year



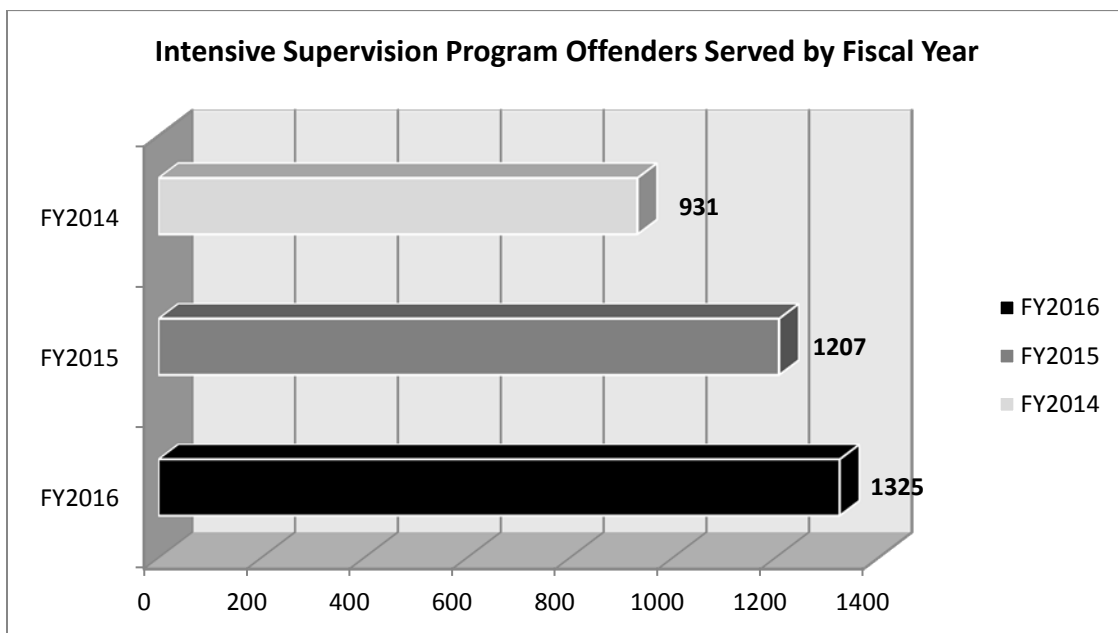
Length of Residential Stay (486 Successful Closures Only)



Intensive Supervision Program

The **Intensive Supervision** Program (ISP) provides a more-intensive level of field supervision for higher risk offenders. Many offenders placed in the program have just been released from a Residential Center or Prison. Offenders supervised under ISP are closely monitored by an ISP officer (Probation/Parole Officer III). Offenders are required to attend substance abuse treatment or other treatment programs that correspond to their individual needs. Offenders who successfully complete the program are transferred to regular Probation or Parole supervision and are supervised according to their respective risk score.

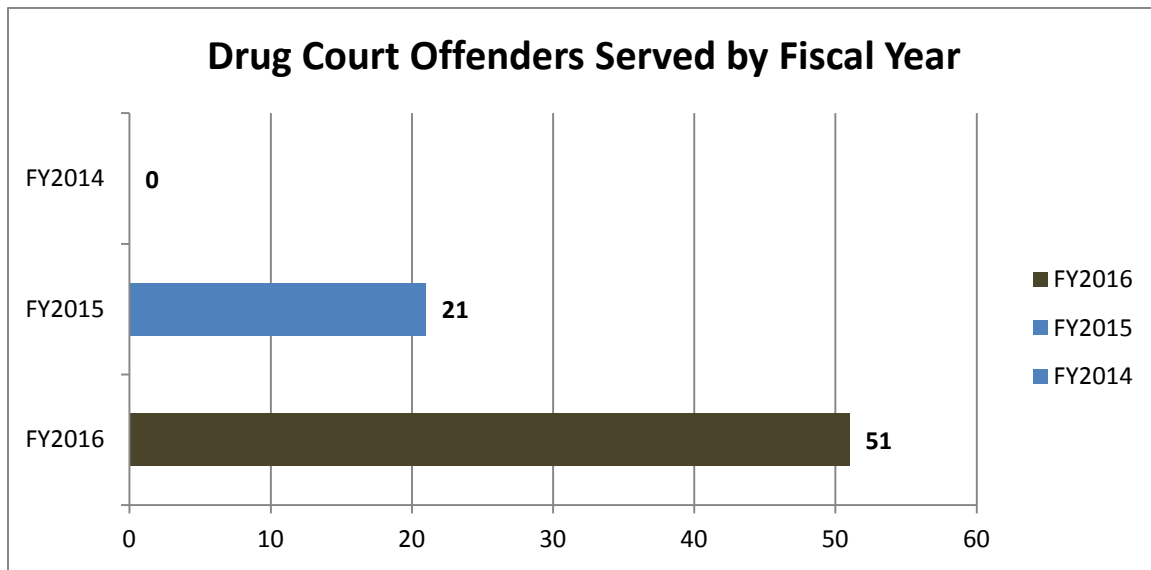
Due to the intensity of services offered the Department attempts to keep caseload sizes smaller, approximately thirty offenders per officer. Evidence Based outcomes also show an increase in success of higher risk offenders when caseloads are in that range.



Drug Court

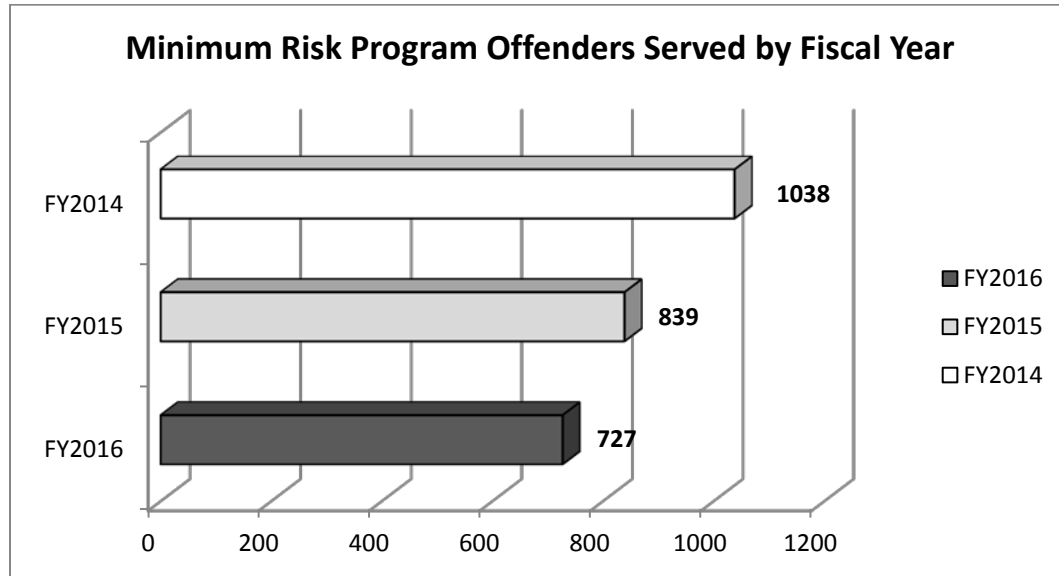
The **Drug Court** Program is a Judge based program that provides intensive levels of field supervision for high risk/high need offenders whose substance addiction is a major contributing factor to their criminal activity. The Drug Court Program is comprised of an Interdisciplinary Team (IDT) that includes the Judge, County Attorney, Defense Attorney, Probation/Parole Officer, and Treatment Provider. The IDT attends weekly pre-court staffing meetings to review participant progress, determine appropriate actions to improve outcomes, and prepare for status hearings in court. The Drug Court program consists of five (5) phases that offenders participate in, with the program being approximately twelve (12) to eighteen (18) months in length. Offenders are required to participate in substance abuse treatment, other treatment programs that correspond to their individual needs, submit to frequent drug testing, attend status hearing with the IDT, as well as any additional requirements determined by the IDT. Due to the intensity of services offered the Department attempts to keep caseload sizes smaller, approximately twenty-five (25) offenders per officer. Evidence Based outcomes also show an increase in success of higher risk offenders when caseloads are in that range.

Second District implemented Drug Courts in Webster and Cerro Gordo counties during FY2015.



Minimum Risk Program

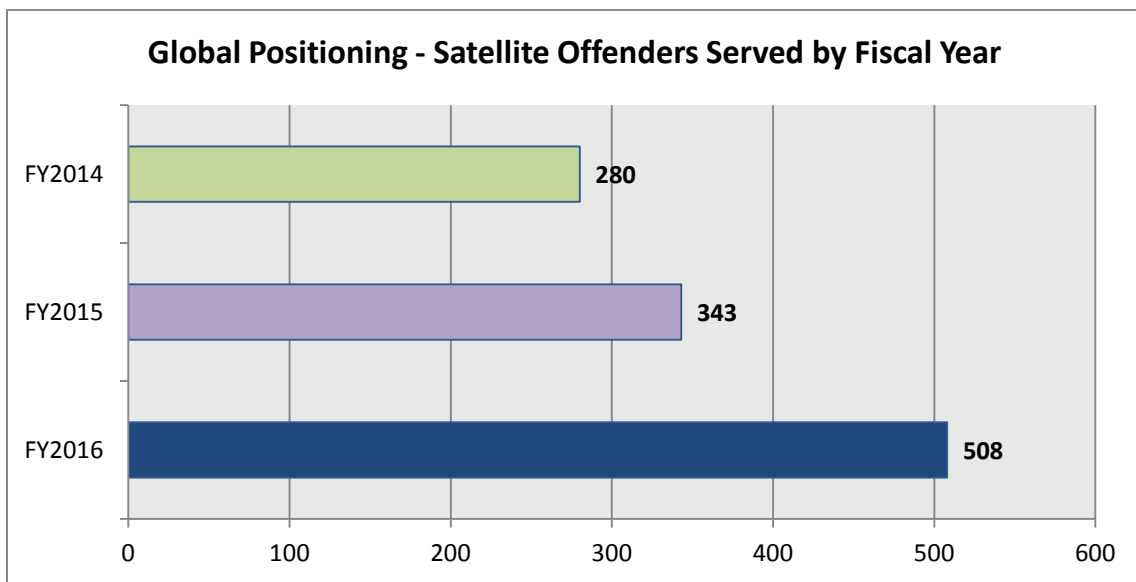
The **Minimum Risk Program** provides limited supervision and monitoring activities for offenders who are considered low risk to re-offend. Offenders are assigned to a Probation/Parole Officer based upon risk assessment score, informed of their court ordered obligations and responsibilities for supervision. The Department initiated a redeveloped Minimum Risk Program at the end of FY2011 and the beginning of FY2012 and the initial focus was placed on six counties in the District. Those counties consisted of Hardin, Marshall, Story, Boone, Greene and Carroll. Full implementation of the Minimum Risk Program was deployed to the remaining sixteen counties in the District in FY2013.



Electronic Monitoring

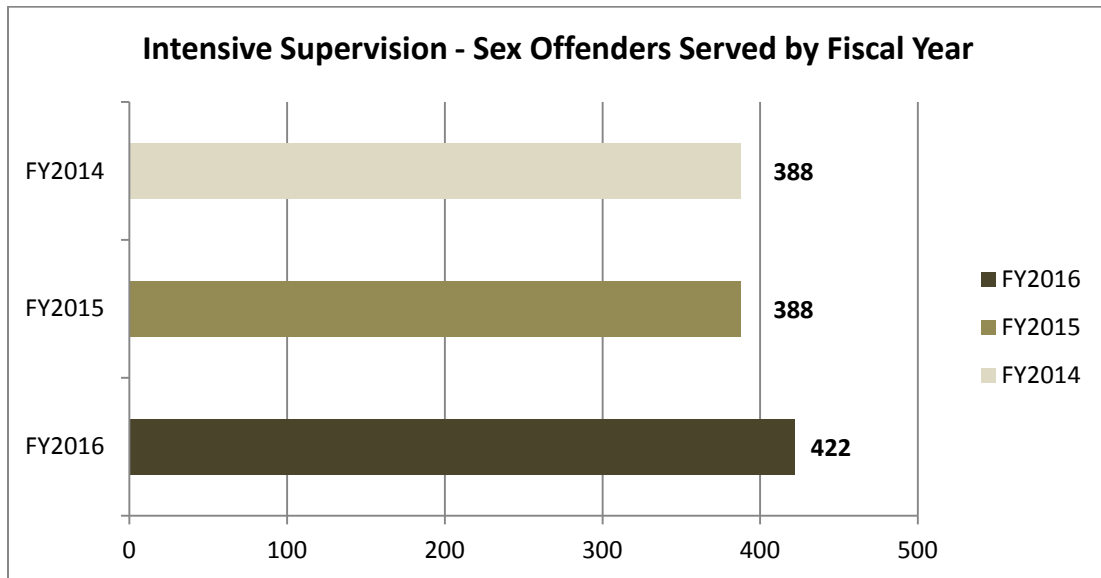
Electronic Monitoring is utilized as a supervision tool to monitor the offender's activities in the community and to promote accountability. These tools are typically used by a Probation/Parole Officer III during the course of supervising high risk offenders. The types of electronic equipment used are radio frequency (ankle bracelet curfew monitoring), global positioning (satellite tracking of offender movement) and remote alcohol testing (device randomly calls the offender and conducts a breath test or monitoring alcohol usage via ankle bracelet).

The cost for electronic monitoring equipment is budgeted and paid for through an appropriation that Fifth Judicial District receives for all eight judicial districts. Fifth Judicial District distributes the equipment according to need. Any judicial district using equipment in excess of its budgeted amount is billed for the overage.

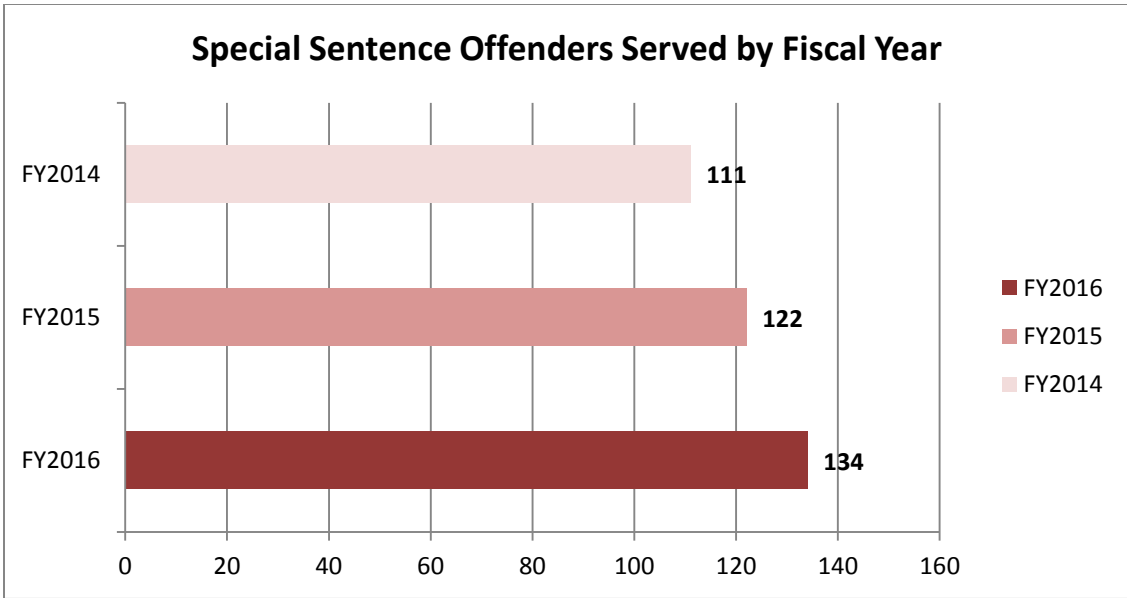


Sex Offender Program

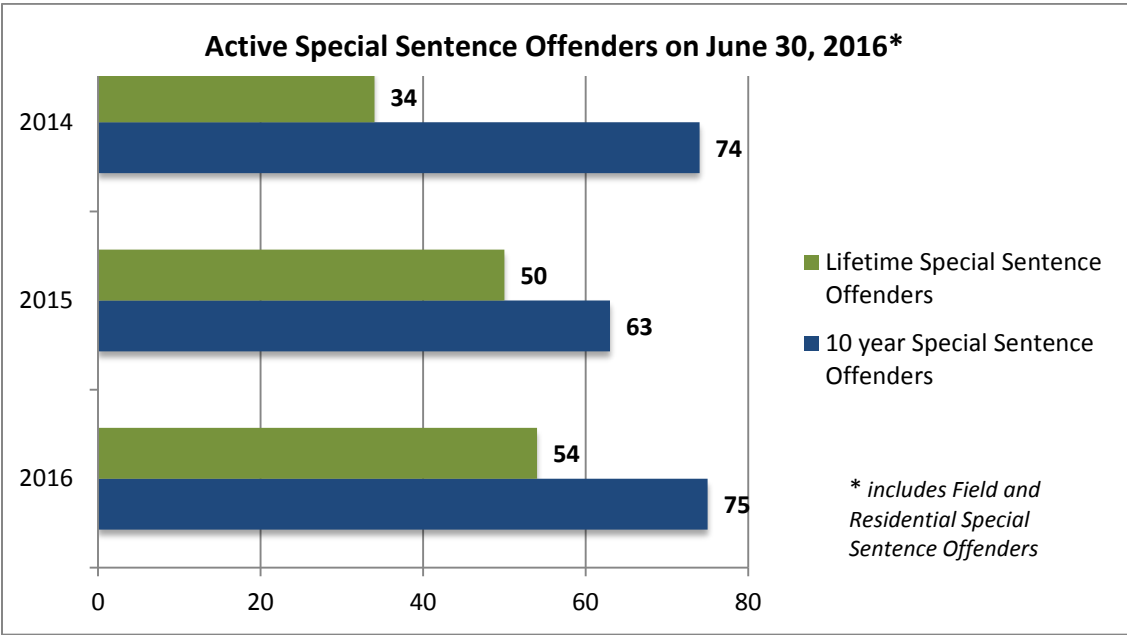
The Sex Offender Program provides specialized supervision and treatment for offenders convicted of a sexual offense or an offense with a sexual component. Supervision level is matched to the assessed risk of the individual. The Sex Offender Program provides assessment and treatment services specifically designed to reduce the risk of re-offending.

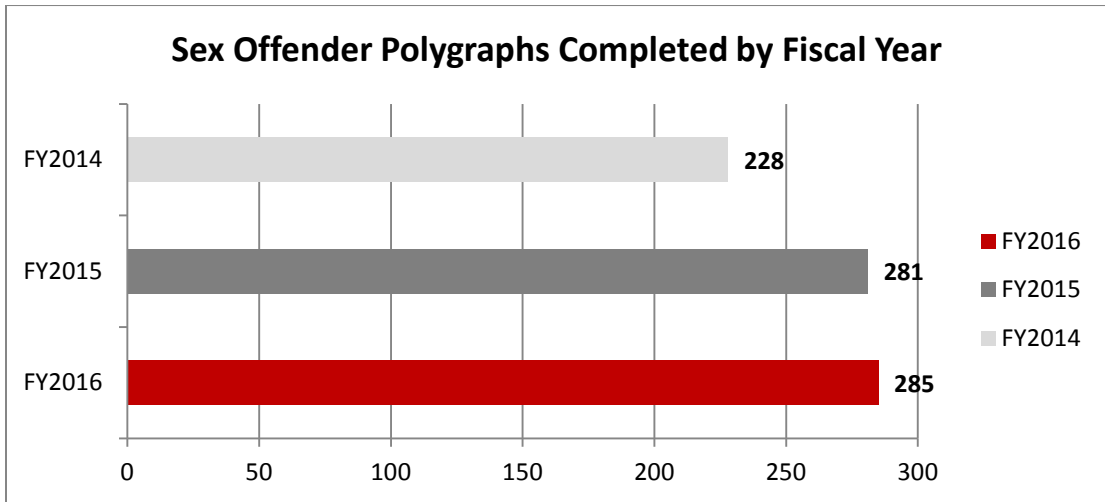
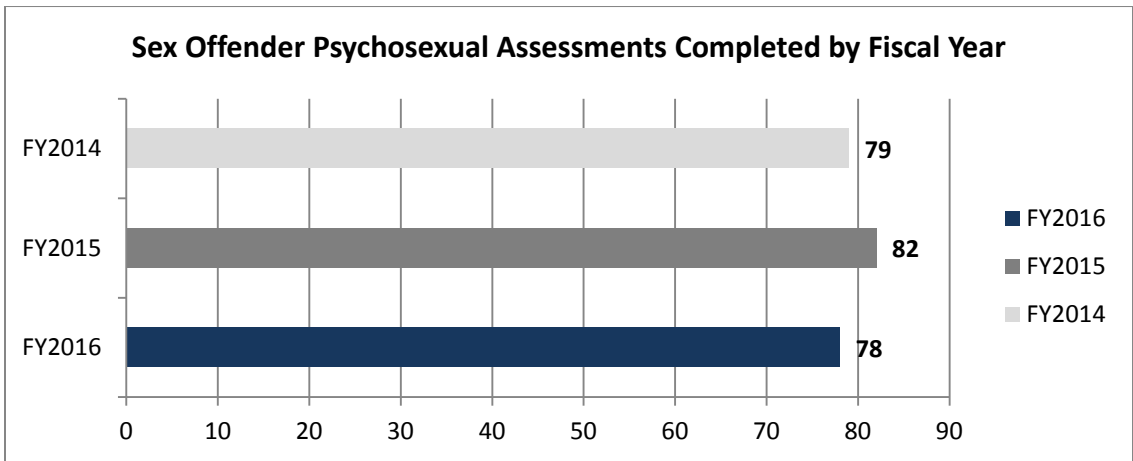
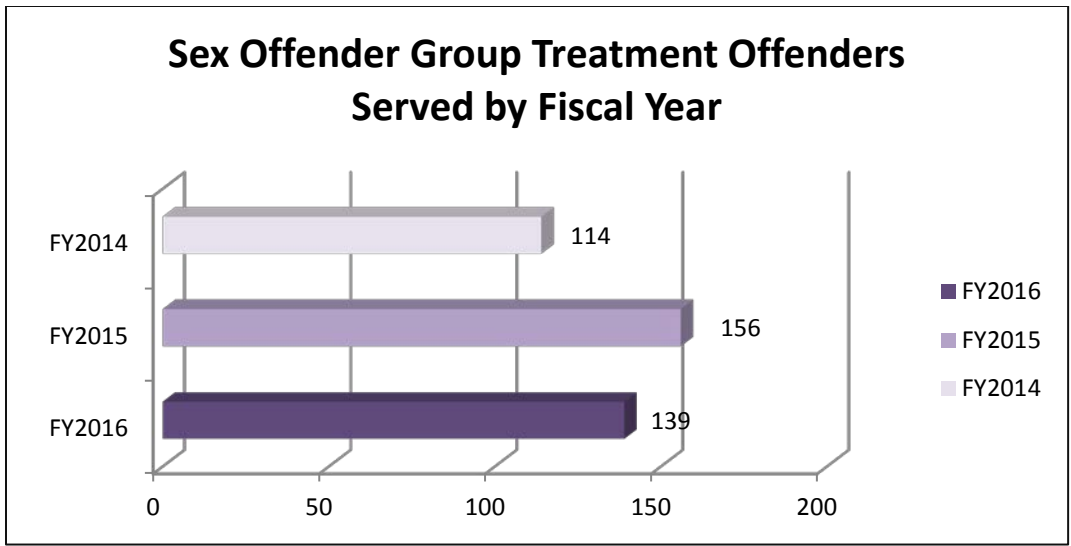


Special Sentence supervision is restricted to Sex Offenders who have been convicted of sexual offenses by the Court and under chapter 709 of the Iowa Code for additional supervision. Offenders convicted of class B or C felonies will serve their required sentence length and then be placed on Lifetime Special Sentence supervision. Offenders convicted of class D felonies or misdemeanors will serve their required sentence length and then be placed on 10 year Special Sentence supervision. Special Sentences are similar to a Parole status and offenders are supervised by a Sex Offender Officer (Probation/Parole Officer III).

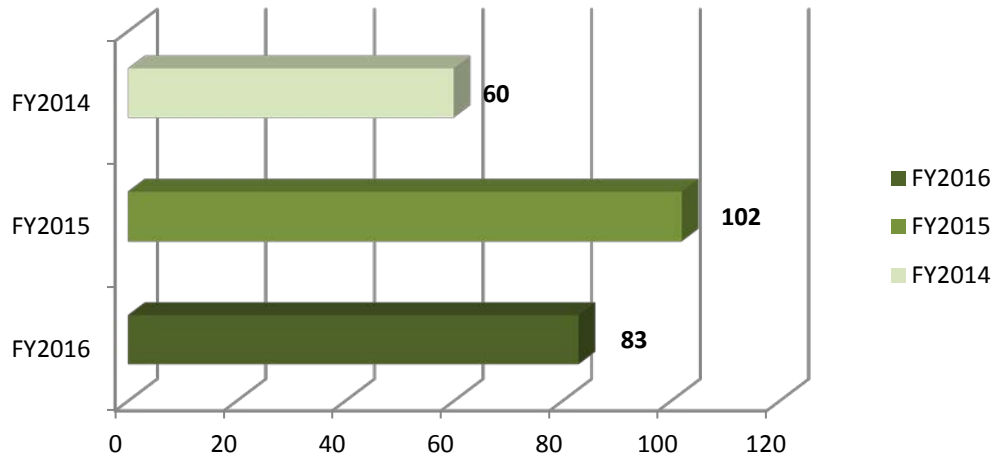


Special Sentence offenders will remain under Correctional Supervision for a period of 10 years or Lifetime of the offender. Due to longer length of supervision for these offenders, closures will be at a minimum and when closures do occur the majority will be Unsuccessful (i.e. Revoked, Revoked to Work Release).



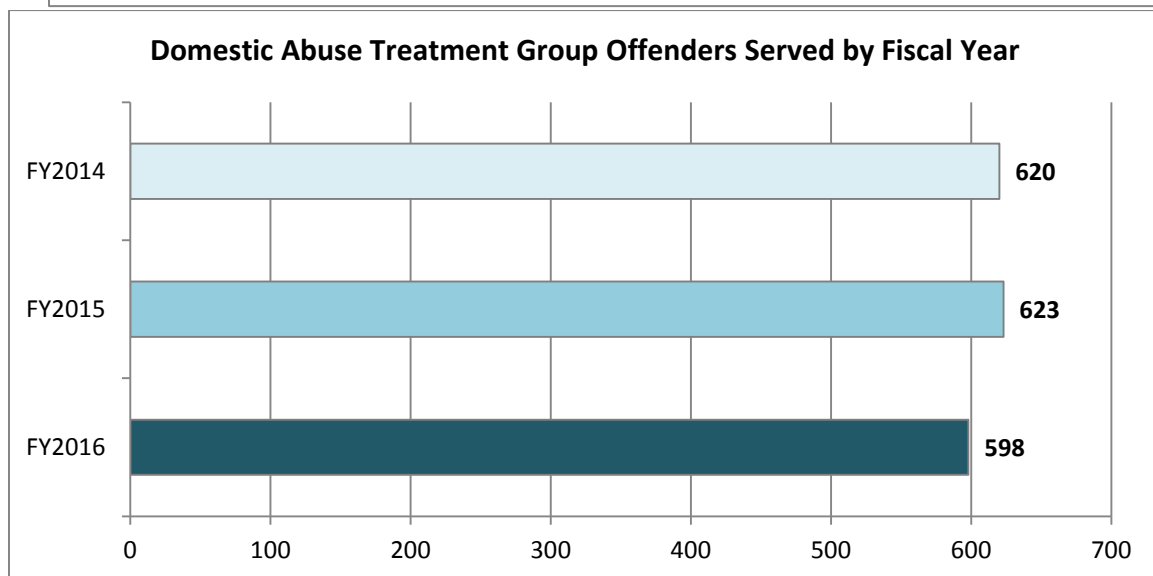
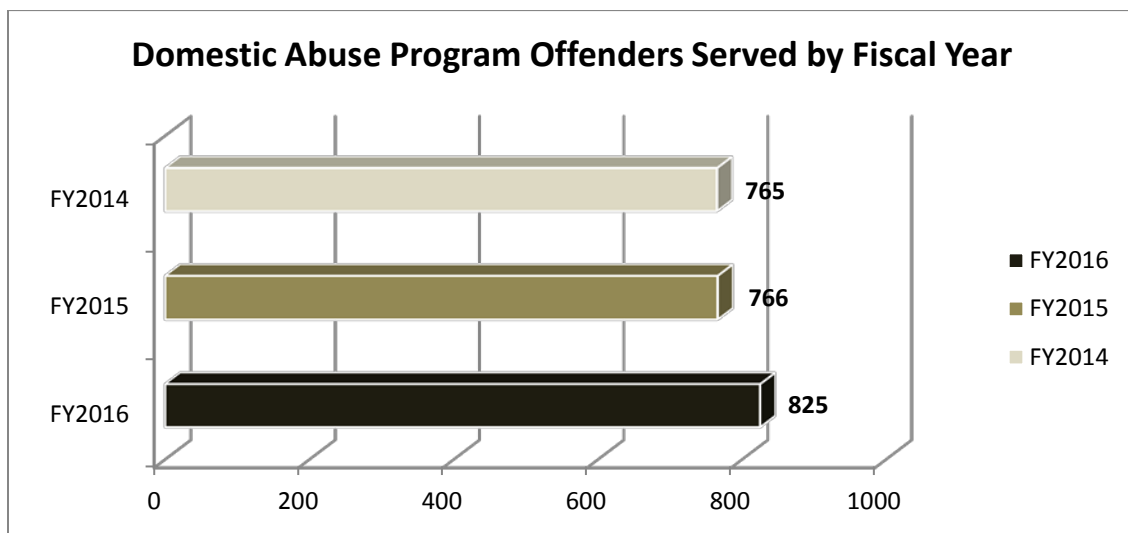


Sex Offender Aftercare Treatment Offenders Served by Fiscal Year



Domestic Abuse Program

Any offender convicted of or receiving a deferred judgment for domestic abuse is required by Iowa law to participate in the **Domestic Abuse Program**. Offenders do not have to be placed under the department's supervision to participate. Not all offenders in the program have been convicted of domestic abuse and some participants are under supervision to local probation agencies that supervise misdemeanants. The program consists of an intake/orientation session and twenty-four class sessions. Offenders are assessed fees to cover the costs of the program. The Department's Domestic Abuse Program utilizes the "Moving On" curriculum for women convicted of domestic abuse. The curriculum for men in the department is ACTV: Achieving Change through Value-Based Behavior a program that was developed by the University of Iowa. Additionally, program coordinators arrange for alternative programming for offenders who are found to be inappropriate for the program model or group setting.

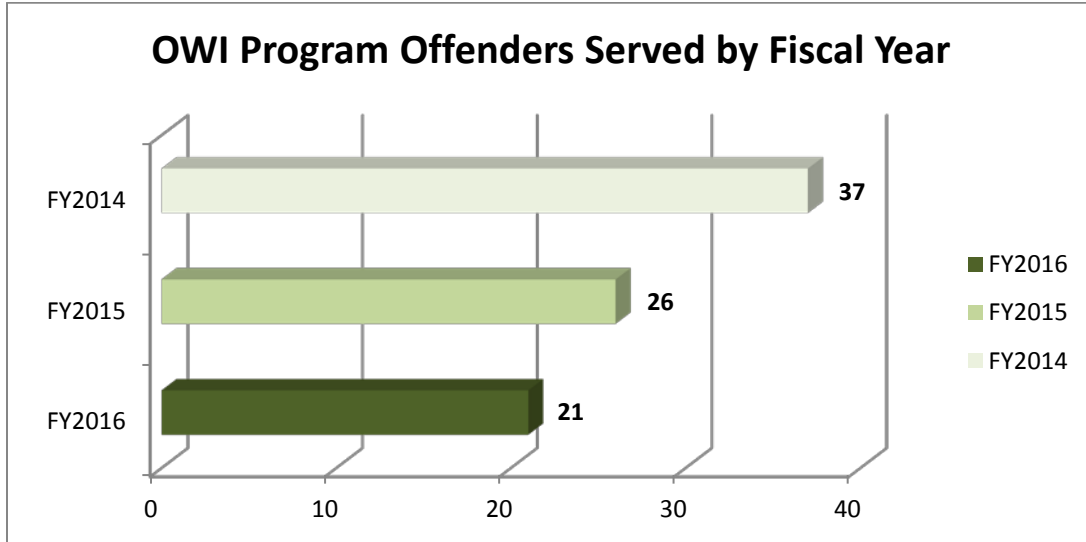


OWI Program

The **OWI Program** is provided for offenders convicted of a second or subsequent Operating While Intoxicated charge, as authorized by the Iowa Code, Chapter 904.153. Offenders in this program are considered state inmate status but are able to serve their sentences and participate in treatment in community corrections residential facilities in lieu of prison. Unless offenders discharge their sentence while in the facility they must be released by the Iowa Board of Parole.

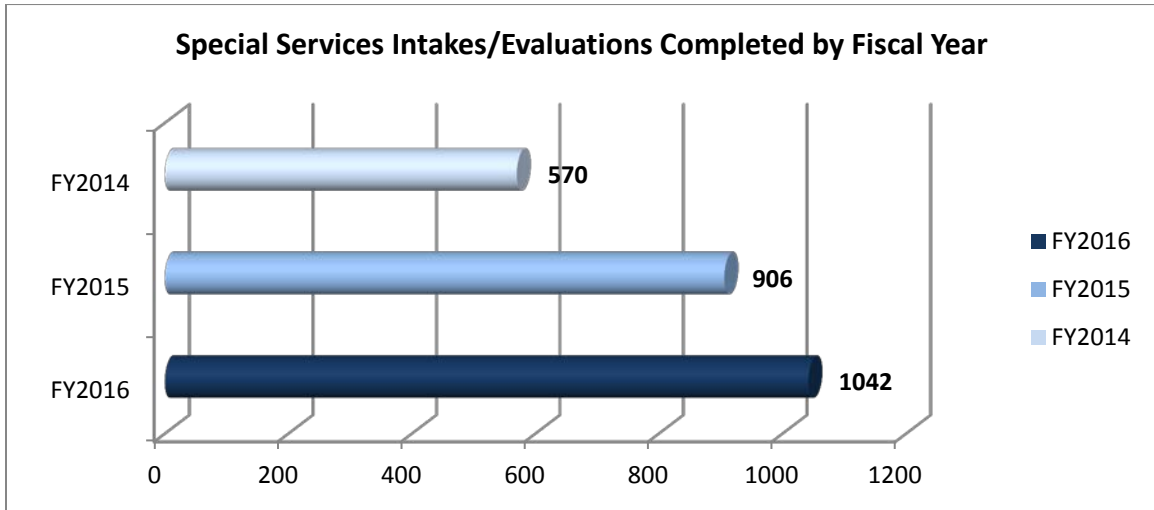
The Department provides OWI programming at all four Residential Centers. Substance Abuse treatment services are provided through contracted services with the following Substance Abuse agencies:

| | |
|---|--------------|
| Community and Family Resources (CFR) | Ames |
| Community and Family Resources (CFR) | Fort Dodge |
| Substance Abuse Treatment Unit of Central Iowa (SATUCI) | Marshalltown |
| Prairie Ridge Addiction Treatment Services (PRATS) | Mason City |



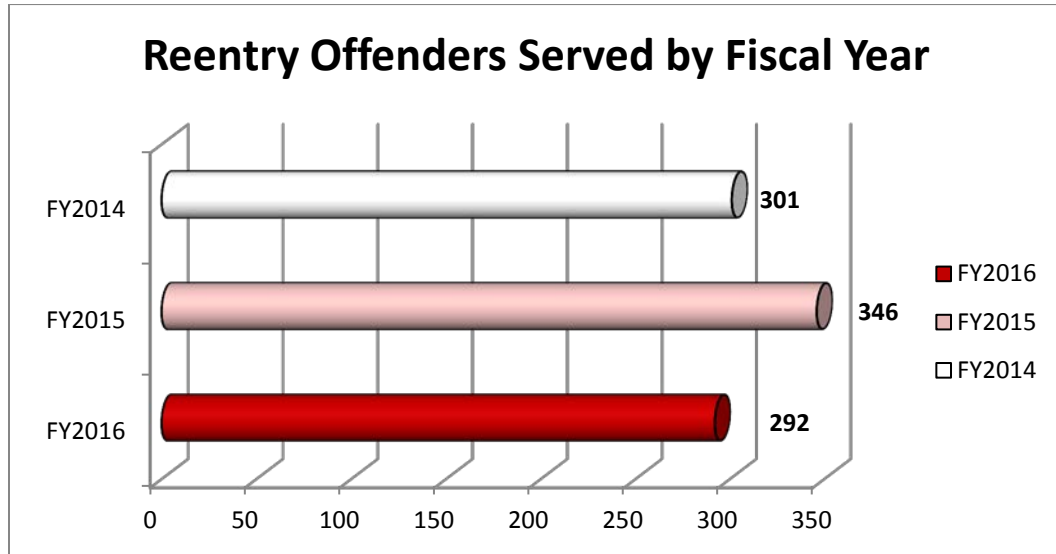
Special Services

Initially started as a pilot program in Ames in 1995, treatment services are offered throughout the District and are now referred to as Special Services. Special Services is designed for high-risk and/or high-need offenders who are in need of intensive treatment services. Services offered by the program include in depth assessments, intakes and Reentry services designed to promote the development of pro-social attitudes and cognitive skills and reintegration into the community. Assessments and services are provided by the Department's Community Treatment Coordinators (CTC).



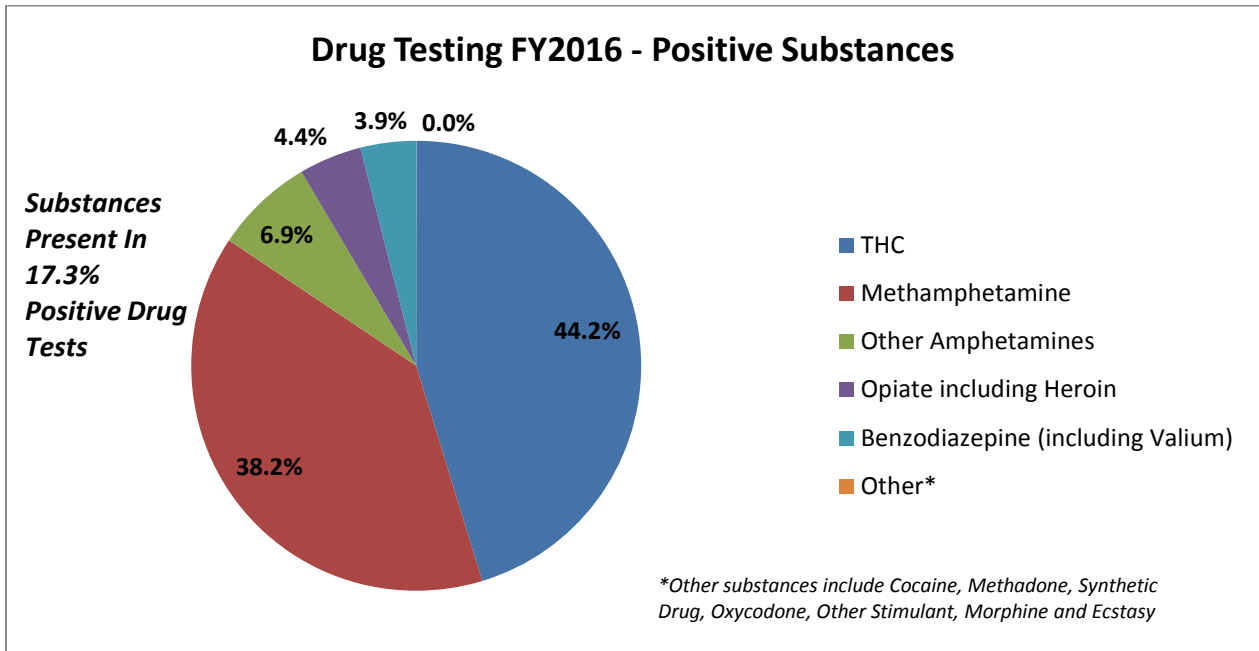
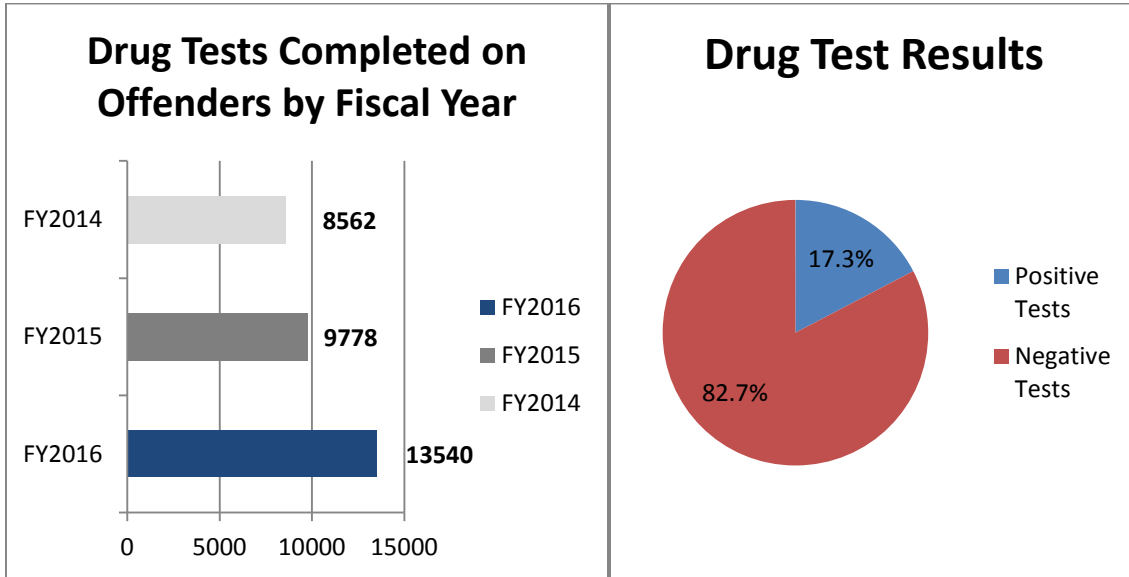
Re-Entry Program

The Department's **Re-Entry Program** is a partnership between the Department of Corrections (IDOC) and Second District. IDOC institution counselors assess the offender, complete a Re-Entry Case Plan and refer offender to appropriate services. The Department provides "Reach In" services where Special Services staff contacts the offender while in prison to initiate a release plan. Department staff also provides transition services to the offender during the first six months of release to the community on work release or parole.



Drug Testing

Drug Testing is an important supervision tool used by the Department. The Department uses a “COLORS” program for offenders who are at higher risk to use substances and the offenders will phone in daily and will report for a drug test if their color is randomly selected for that day. Staff randomly drug test offenders throughout the term of their supervision to aid in deterring offenders from substance abuse. The department primarily uses two different kinds of drug testing in the forms of urinalysis cups and saliva tests which swab the offender’s mouth.



Recidivism Statistics

Iowa Department of Corrections (IDOC) defines **Recidivism** “as subsequent convictions for felonies or aggravated misdemeanors of offenders who discharged (final closure) from correctional supervision within the Fiscal Year range”. The standard which IDOC follows is new convictions to prison for any aggravated misdemeanor or higher offense with three years of final discharge from corrections. When reporting data for Recidivism statistics it is then common practice to use offender final closure data from a fiscal year three years prior.

